

UNITED STATES DISTRICT COURT

FOR THE EASTERN DISTRICT OF CALIFORNIA

STARR A. ADAMS,

Plaintiff,

v.

CAROLYN COLVIN,
Commissioner of Social Security,

Defendant.

No. 2:24-cv-01530-EFB (SS)

ORDER

Plaintiff seeks judicial review of a final decision of the Commissioner of Social Security denying her application for disability insurance benefits under Titles II and XVI of the Social Security Act. ECF No. 1. Pending before the court are the parties' cross-motions for summary judgment. ECF Nos. 15 & 19¹. For the reasons provided below, plaintiff's motion for summary judgment is denied, and the Commissioner's motion for summary judgment is granted.

I. Background

In December 2018, plaintiff filed an application for disability insurance benefits under Title II of the Social Security Act, alleging disability beginning April 17, 2018. Administrative

¹ The parties have consented to the jurisdiction of a United States Magistrate Judge for all proceedings in this action, including judgment, pursuant to 28 U.S.C. 636(c)(1). ECF No. 9.

1 Record (AR) 23, 81-82.² Plaintiff alleged disability due to major depression and generalized
2 anxiety. AR 81-82, 105. Plaintiff's application was denied on June 25, 2019, AR 105-109, and
3 her request for reconsideration was denied on September 20, 2019. AR 112-117. She requested a
4 hearing, which was held by telephone on August 29, 2022. AR 37-68. On September 13, 2022,
5 the ALJ issued a decision finding plaintiff not disabled. AR 20-36. Plaintiff sought review,
6 which was denied on August 21, 2023, AR 9-13, and, on May 30, 2024, she initiated the instant
7 action. ECF No. 1.

8 **II. Legal Standard**

9 **A. The Disability Standard**

10 To qualify for disability insurance benefits under the Social Security Act, a claimant must
11 show he is unable "to engage in any substantial gainful activity by reason of any medically
12 determinable physical or mental impairment³ which can be expected to result in death or which
13 has lasted or can be expected to last for a continuous period of not less than 12 months." 42
14 U.S.C. § 423(d)(1)(A). The Social Security Regulations set out a five-step sequential evaluation
15 process to be used in determining if a claimant is disabled. 20 C.F.R. § 404.1520; *Batson v.*
16 *Comm'r of Soc. Sec. Admin.*, 359 F.3d 1190, 1194 (9th Cir. 2004). The five steps in the sequential
17 evaluation in assessing whether the claimant is disabled are:

18 Step one: Is the claimant presently engaged in substantial gainful activity? If so,
19 the claimant is not disabled. If not, proceed to step two.

20 Step two: Is the claimant's alleged impairment sufficiently severe to limit his or
21 her ability to work? If so, proceed to step three. If not, the claimant is not
disabled.

22 Step three: Does the claimant's impairment, or combination of impairments, meet
23 or equal an impairment listed in 20 C.F.R., pt. 404, subpt. P, app. 1? If so, the
claimant is disabled. If not, proceed to step four.

24 Step four: Does the claimant possess the residual functional capacity ("RFC") to

25
26 ² Defendant lodged the administrative record on October 7, 2024. ECF No. 12.

27 ³ A "physical or mental impairment" is one resulting from anatomical, physiological, or
psychological abnormalities that are demonstrable by medically acceptable clinical and laboratory
diagnostic techniques. 42 U.S.C. § 423(d)(3).

1 perform his or her past relevant work? If so, the claimant is not disabled. If not,
2 proceed to step five.

3 Step five: Does the claimant's RFC, when considered with the claimant's age,
4 education, and work experience, allow him or her to adjust to other work that
5 exists in significant numbers in the national economy? If so, the claimant is not
 disabled. If not, the claimant is disabled.

6 *Stout v. Comm'r, Soc. Sec. Admin.*, 454 F.3d 1050, 1052 (9th Cir. 2006). At each of these five
7 steps, "the ALJ is responsible for determining credibility, resolving conflicts in medical
8 testimony, and for resolving ambiguities." *Ford v. Saul*, 950 F.3d 1141, 1149 (9th Cir. 2020).
9 (quoting *Andrews v. Shalala*, 53 F.3d 1035, 1039 (9th Cir. 1995)). In steps one through four, the
10 burden of proof is on the claimant. *Ford*, 950 F.3d at 1148. A claimant establishes a *prima facie*
11 case of qualifying disability once he has carried the burden of proof from step one through step
12 four. *Ibid.*

13 Before making the step four determination, the ALJ first must determine the claimant's
14 RFC. *Batson*, 359 F.3d at 1194; *see also* 20 C.F.R. § 416.920(e). The RFC is "the most [one] can still
15 do despite [his] limitations" and represents an assessment "based on all the relevant evidence."
16 20 C.F.R. § 404.1545(a)(1). A determination of RFC is not a medical opinion, but a legal
17 decision that is expressly reserved for the Commissioner. *See* 20 C.F.R. § 404.1527(d)(2) (RFC
18 is not a medical opinion); 20 C.F.R. § 404.1546(c) (identifying the ALJ as responsible for
19 determining RFC); *see also Vertigan v. Halter*, 260 F.3d 1044, 1049 (9th Cir. 2001) ("[I]t is the
20 responsibility of the ALJ, not the claimant's physician, to determine residual functional
21 capacity.").

22 At step five, the burden shifts to the Commissioner, who must then show that there are a
23 significant number of jobs in the national economy that the claimant can perform given his RFC,
24 age, education, and work experience. 20 C.F.R. § 404.1520(g); *Batson*, 359 F.3d at 1194. If the
25 claimant can perform other work in the national economy, then the claimant may not be found to
26 be disabled. *Ibid.*

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1 **B. Standard of Review**

2 Congress has provided that an individual may obtain judicial review of any final decision
 3 of the Commissioner of Social Security regarding entitlement to benefits. 42 U.S.C. § 405(g). In
 4 determining whether to reverse an ALJ's decision, the court reviews only those issues raised by
 5 the party challenging the decision. *See Lewis v. Apfel*, 236 F.3d 503, 517 n.13 (9th Cir. 2001).
 6 The court must find the Commissioner's decision conclusive if it is supported by substantial
 7 evidence. 42 U.S.C. § 405(g); *Biestek v. Berryhill*, 587 U.S. 97, 99 (2019). "Substantial evidence
 8 is relevant evidence which, considering the record as a whole, a reasonable person might accept
 9 as adequate to support a conclusion." *Thomas v. Barnhart (Thomas)*, 278 F.3d 947, 954 (9th Cir.
 10 2002) (quoting *Flaten v. Sec'y of Health & Human Servs.*, 44 F.3d 1453, 1457 (9th Cir. 1995));
 11 *see also Dickinson v. Zurko*, 527 U.S. 150, 153 (1999) (comparing the substantial-evidence
 12 standard to the deferential clearly-erroneous standard). "[T]he threshold for such evidentiary
 13 sufficiency is not high." *Biestek*, 587 U.S. at 103. Rather, "[s]ubstantial evidence means more
 14 than a scintilla, but less than a preponderance; it is an extremely deferential standard." *Thomas v. CalPortland Co. (CalPortland)*, 993 F.3d 1204, 1208 (9th Cir. 2021) (internal quotations and
 15 citations omitted); *see also Smolen v. Chater*, 80 F.3d 1273, 1279 (9th Cir. 1996). Even if the
 16 ALJ has erred, the Court may not reverse the ALJ's decision where the error is harmless, *Stout*,
 17 454 F.3d at 1055-56, and the burden of showing that an error is not harmless "normally falls upon
 18 the party attacking the agency's determination." *Shinseki v. Sanders*, 556 U.S. 396, 409 (2009).

20 In conducting its analysis, the "reviewing court must consider the entire record as a whole
 21 and may not affirm simply by isolating a specific quantum of supporting evidence." *Hill v. Astrue*, 698 F.3d 1153, 1159 (9th Cir. 2012) (quoting *Robbins v. Soc. Sec. Admin.*, 466 F.3d 880,
 22 882 (9th Cir. 2006)). The court may not affirm the ALJ on a ground upon which she did not rely;
 23 rather, the court may review only the reasons stated by the ALJ in her decision. *Orn v. Astrue*,
 24 495 F.3d 625, 630 (9th Cir. 2007); *see also Connell v. Barnhart*, 340 F.3d 871, 874 (9th Cir.
 25 2003). Further, if the evidence "is susceptible to more than one rational interpretation, it is the
 26 ALJ's conclusion that must be upheld." *Ford*, 950 F.3d at 1154 (quoting *Burch v. Barnhart*, 400
 27 F.3d 676, 679 (9th Cir. 2005)).

1 **III. The ALJ's Findings of Fact and Conclusions of Law**

2 In his September 13, 2022 decision, the ALJ found plaintiff not disabled and made the
3 following findings:

- 4 1. The claimant meets the insured status requirements of the Social Security Act
5 through December 31, 2023.
- 6 2. The claimant has not engaged in substantial gainful activity since April 17,
7 2018, the alleged onset date (20 CFR 404.1571 *et seq.*).
- 8 3. The claimant has the following severe impairments: depressive disorder; anxiety
9 disorder; obsessive compulsive personality disorder (20 CFR 404.1520(c)).
- 10 4. The claimant does not have an impairment or combination of impairments that
11 meets or medically equals the severity of one of the listed impairments in 20 CFR
12 Part 404, Subpart P, Appendix 1 (20 CFR 404.1520(d), 404.1525 and 404.1526).
- 13 5. After careful consideration of the entire record, I find that the claimant has the
14 residual functional capacity to perform a full range of work at all exertional levels
15 but with the following nonexertional limitations: Work with simple tasks. Only
16 occasional interaction with the public, in the work setting. Only occasional
17 interaction with coworkers and supervisors. No tandem tasks involved. Work with
18 end of the day production requirements and no other periodic or hourly quotas.
- 19 6. The claimant is unable to perform any past relevant work (20 CFR 404.1565).
- 20 7. The claimant was born on August 30, 1977 and was 40 years old, which is
21 defined as a younger individual age 18-49, on the alleged disability onset date (20
22 CFR 404.1563).
- 23 8. The claimant has at least a high school education (20 CFR 404.1564).
- 24 9. Transferability of job skills is not material to the determination of disability
25 because using the Medical-Vocational Rules as a framework supports a finding
26 that the claimant is "not disabled," whether or not the claimant has transferable job
27 skills (See SSR 82-41 and 20 CFR Part 404, Subpart P, Appendix 2).
- 28 10. Considering the claimant's age, education, work experience, and residual

1 functional capacity, there are jobs that exist in significant numbers in the national
2 economy that the claimant can perform (20 CFR 404.1569 and 404.1569a).

3 11. The claimant has not been under a disability, as defined in the Social Security
4 Act, from April 17, 2018, through the date of this decision (20 CFR 404.1520(g)).

5 AR 25-31.

6 Relative to the ALJ's fifth finding, concerning the scope of plaintiff's RFC, the ALJ
7 explained the basis of his conclusions:

8 In making this finding, I have considered all symptoms and the extent to
9 which these symptoms can reasonably be accepted as consistent with the
10 objective medical evidence and other evidence, based on the requirements of 20
11 CFR 404.1529 and SSR 16-3p. I also considered the medical opinion(s) and prior
12 administrative medical finding(s) in accordance with the requirements of 20 CFR
13 404.1520c.

14 In considering the claimant's symptoms, I must follow a two-step process
15 in which it must first be determined whether there is an underlying medically
16 determinable physical or mental impairment(s)--i.e., an impairment(s) that can be
17 shown by medically acceptable clinical or laboratory diagnostic techniques--that
18 could reasonably be expected to produce the claimant's pain or other symptoms.

19 Second, once an underlying physical or mental impairment(s) that could
20 reasonably be expected to produce the claimant's pain or other symptoms has
21 been shown, I must evaluate the intensity, persistence, and limiting effects of the
22 claimant's symptoms to determine the extent to which they limit the claimant's
23 work-related activities. For this purpose, whenever statements about the intensity,
24 persistence, or functionally limiting effects of pain or other symptoms are not
25 substantiated by objective medical evidence, I must consider other evidence in the
26 record to determine if the claimant's symptoms limit the ability to do work-related
27 activities. The claimant also reported that she has trauma responses to stressful
28 situations affecting her ability to work.

29 The claimant reported that she is unable to work because she is limited in
30 her time and needs to spend all of her time of basic activities of daily living. The
31 claimant reported that it takes her longer to do things than other people and she
32 believes it is related to a diagnosis of obsessive compulsive personality disorder
33 (OCPD). The claimant reported that she was treated in therapy for her OCPD as
34 well as PTSD. The claimant also reported that she was treated with Prozac which
35 helps to regulate her mood, but made her lethargic. However, she stated that the
36 medication did not affect her OCPD or her need to spend time on daily living
37 activities. The claimant reported that depression affects her ability to interact with
38 others. She estimated that she has 15 to 25 bad days per month. She stated that
39 unexpected things or plans cause her anxiety. The claimant reported that she
40 drives to the dentist, stores, and doctor. The claimant reported that she tries to go
41 to places that do curbside shopping. The claimant reported that she cooks for
42 herself, attends to her personal care, cleans up after herself, does yoga and

1 meditation, exercises, and does laundry. The claimant reported that she enjoys
2 knitting, but does not have time to do so. The claimant stated that it generally
3 takes her 7 hours to get out of the house. (Testimony).

4 After careful consideration of the evidence, I find that the claimant's
5 medically determinable impairments could reasonably be expected to cause the
6 alleged symptoms; however, the claimant's statements concerning the intensity,
7 persistence and limiting effects of these symptoms are not entirely consistent with
8 the medical evidence and other evidence in the record for the reasons explained in
9 this decision.

10 The claimant has a diagnoses of major depressive disorder, generalized
11 anxiety disorder, and obsessive-compulsive personality disorder. (Exhibit 2F/3).
12 The claimant engaged in mental health treatment following a major depressive
13 episode triggered by the death of her boyfriend. (Exhibit 2F/1). The claimant then
14 moved in with her mother and stepfather, and the transition was stressful, and
15 reportedly increased her depression. (Exhibit 2F/2). The claimant also reportedly
16 maintains rigid control of her external environment and becomes very anxious,
17 agitated and irritated with whomever has disturbed her sense of order. (Exhibit
18 2F/2; 7F/5, 43). The claimant reported symptoms of restlessness, poor
19 concentration, fatigue, irritability, intrusive thoughts, and depressed mood.
20 (Exhibit 3F/2; 6F/36; 7f/5). She also reported compulsiveness, occasional panic,
21 and social anxiety. (Exhibit 6F/20). The claimant treated with Zoloft and noted
22 some fatigue and concentration issues while on the medication. (Exhibit 4F/19).

23 The record indicates that after a year of engaging in psychotherapy, the
24 claimant had some progress, with a decrease in depressed affect and feelings of
25 grief. (Exhibit 2F/3). Improvement with anxiety issues was also noted. (Exhibit
26 2F/3). At times, the claimant exhibited low mood, tearfulness, or was irritable.
27 (Exhibit 6F/34; 7F/37). However, overall, the claimant generally presented as
28 cooperative, with appropriate dress and grooming, normal memory, intact
 judgment, average intellect, normal attention and concentration, normal thought
 process, normal speech, and good insight. (Exhibit 3F/5; 6F/21, 24, 26, 28, 31;
 7F/7, 19, 22, 24, 26, 41). In January 2020, the claimant denied obsessive
 compulsive habits, depressed mood, excessive anxiety, and issues with memory
 and concentration. (Exhibit 4F/23). Records indicate that the claimant
 experienced good results with Zoloft. (Exhibit 4F/16, 19, 21; 5F/10; 6F/27;
 7F/25). The claimant reported that episodes of irritability significantly decreased
 when taking sertraline. (Exhibit 6F/20). March 2021 relate that the claimant
 reported normal situational anxiety with which she is able to cope. (Exhibit
 6F/27). Records from November 2021 relate that the claimant was self-tapering
 off of Zoloft. (Exhibit 7F/47). Despite discontinuing treatment with Zoloft, the
 claimant reported that she was feeling stable. (Exhibit 7F/55, 60). Additionally,
 on examination, the claimant was alert and oriented, cooperative, with normal
 speech, good mood, goal-directed thought process, and no suicidal or homicidal
 ideation. (Exhibit 7F/55, 60).

29 The claimant has been limited to simple tasks in order to accommodate
30 limitations in understanding, remembering, or applying information. The
31 claimant's requirement to interact with others has also been limited due to
32 moderate limitations in the category of interacting with others. She is limited to
33 occasional interaction with co-workers, supervisors and the general public. With

1 respect to concentrating, persisting, or maintaining pace, both pace and
2 persistence are addressed by requiring that work have no periodic or hourly
3 quotas and no tandem tasks. Finally, the prohibition on production requirements
4 in combination with the limitations on interaction with others serves to create a
work environment that is lower in stress than that which would require fast-paced
work and greater interaction with others, and therefore accommodates limitations
in adapting and managing.

5 In addition to the above-referenced findings, the record shows that the
claimant retained ability which further undermine the claimant's allegations of
6 severity. The claimant is able to attend to her own personal care, drive a car,
7 exercise, do yoga, do laundry, prepare meals, shop in stores, manage finances.
(Exhibit 3E; 7F/19; Testimony). These retained abilities further support the
8 residual functional capacity and suggest the claimant could sustain work with
restrictions outlined in the above residual functional capacity.

9 Under the current rules for evaluating medical evidence in claims filed
10 after March 27, 2017, I did not provide articulation about evidence that is neither
11 inherently valuable nor persuasive. This includes issues reserved to the
12 Commissioner, such as whether or not a claimant is disabled or able to work or
whether or not the claimant meets or equals a listing, and decisions by other
13 governmental or non-governmental entities (20 CFR 416.920b(c)(1) and (3)). (See
14 Exhibit 2F/3; 7F/28, 63). Nor did I provide articulation for statements that are not
provided by medical sources or that do not state what the claimant could do
despite her impairments. (20 CFR 416.913(a)(2)).

15 As for the opinion evidence regarding the claimant's mental functioning, I
have considered the psychiatric review technique set forth by R. Warren, M.D.
16 and Barney Greenspan, Ph.D. (Exhibit 1A; 3A). Dr. Warren and Dr. Greenspan
opined moderate limitations in the area of concentrate, persist, or maintain pace
and no limitations in the remaining paragraph B criteria. They further
17 opined that the claimant is capable performing semi-complex tasks. They
supported their opinions with evidence of progress in psychotherapy, decrease in
18 depressed affect, and improvement in anxiety issues. (Exhibit 3A/4). I find that
the evidence available at the hearing level, showing cooperative behavior with
normal memory, intact judgment, average intellect, normal attention and
concentration, normal thought process, normal speech, and good insight. (Exhibit
19 6F/21, 24, 26, 28, 31; 7F/7, 19, 22, 24, 26, 41). Accordingly, I find these opinions
20 generally persuasive. However, based on the claimant's complaints regarding
interaction as well as reported concentration issues, I have included additional
21 social limitations to occasional contact with the public, co-workers and
supervisors as well as restrictions on production requirements.

22 I have considered the opinion provided by Jeff Rose, Ph.D. (Exhibit 1F).
Dr. Rose opined that the claimant has mostly unlimited to good ability to
23 understand, remember, and carry out instructions; interact with others; and
respond appropriately to changes in a work setting. He opined fair ability to
complete a normal workday or workweek. Dr. Rose supported his opinion with a
mental status examination showing normal speech, cooperative behavior, intact
24 concentration, normal memory, above average intelligence, anxious/depressed
mood, intact judgment, and goal directed thought process. I find this opinion
mostly persuasive as it is consistent with the State Agency opinion at Exhibit 1A;

1 3A. However, as stated above, based on the claimant's testimony and later
2 treatment records showing issues forming and maintaining social connections,
3 reports of poor concentration and low motivation, I have found the claimant more
4 limited and included appropriate accommodations as outlined in the above
5 residual functional capacity. (Exhibit 7F/2, 10, 32).

6 In sum, the above residual functional capacity assessment is supported by
7 the objective medical evidence and the record as a whole. Even though the record
8 indicates that the claimant did have some limitations due to her impairments, the
9 record also shows that the claimant's impairments were not as limiting as she has
10 alleged, and she maintained the ability to perform work related activities within
11 the residual functional capacity assigned.

12 AR 27-30.

13 **IV. Analysis**

14 Plaintiff raises a single issue on review, asserting that the ALJ's decision was not
15 supported by substantial evidence because, in determining plaintiff's residual functioning
16 capacity, the ALJ erroneously discounted the medical opinions of Dr. Rose with evidence that
17 post-dated his report, without developing the record by obtaining an additional psychological
18 consultative examination that could have assessed the newer evidence. ECF No. 15 at 10-14
(citing AR 29-30). In so doing, plaintiff argues, the ALJ improperly acted as a de facto medical
19 expert, and this was not harmless. *Ibid.* Plaintiff requests the agency's decision be vacated and
20 the matter remanded. The court concludes plaintiff has not met her burden to demonstrate relief
21 is merited.

22 The record does not support plaintiff's contention that the ALJ "implicitly rejected" the
23 opinion of Dr. Rose. *See* ECF No. 15 at 11. Rather, the record indicates that the ALJ considered
24 Dr. Rose's opinion and gave it weight, while also endeavoring to reconcile it with the additional
25 information supplied by plaintiff's testimony and later treatment records. AR 29-30. This was
26 not error, but rather reflected the ALJ undertaking the very analysis the regulations require of
27 him. 20 C.F.R. §§ 404.1520(e), 404.1520c(a), 404.1527(d)(2), 404.1545(a), 404.1546(c);
28 416.945(a); *see Tommasetti v. Astrue*, 533 F.3d 1035, 1041-42 (9th Cir. 2008) ("[T]he ALJ is the
final arbiter with respect to resolving ambiguities in the medical evidence."); *Lingenfelter v.
Astrue*, 504 F.3d 1028, 1042 (9th Cir. 2007) ("When evaluating the medical opinions of treating
and examining physicians, the ALJ has discretion to weigh the value of each of the various

1 reports, to resolve conflicts in the reports, and to determine which reports to credit and which to
2 reject.”); *Benton v. Barnhart*, 331 F.3d 1030, 1040 (9th Cir. 2003) (where “the record contains
3 conflicting medical evidence, the ALJ is charged with determining credibility and resolving the
4 conflict”); *Morgan v. Comm’r of Soc. Sec. Admin.*, 169 F.3d 595, 603 (9th Cir. 1999) (holding
5 that ALJ was “responsible for resolving conflicts” and “internal inconsistencies” within doctor’s
6 reports); *see generally Vertigan*, 260 F.3d at 1049 (“It is clear that it is the responsibility of the
7 ALJ, not the claimant’s physician, to determine residual functional capacity.”).

8 Plaintiff has also not shown that the language of the ALJ’s decision indicates that the ALJ
9 should have initiated additional fact-finding prior to rendering a decision. To be sure, in a social
10 security case the ALJ “has an independent ‘duty to fully and fairly develop the record and to
11 assure that the claimant’s interests are considered.’” *Tonapetyan v. Halter*, 242 F.3d 1144, 1150
12 (9th Cir. 2001) (quoting *Smolen*, 80 F.3d at 1288, and *Brown v. Heckler*, 713 F.2d 441, 443 (9th
13 Cir.1983)). This duty is heightened where, as here, the claimant was unrepresented. *Tonapetyan*,
14 242 F.3d at 1150; *Cox v. Califano*, 587 F.2d 988, 991 (9th Cir. 1978). Ambiguous evidence, or
15 the ALJ’s own finding that the record is inadequate to allow for proper evaluation of the
16 evidence, triggers the ALJ’s duty to “conduct an appropriate inquiry,” *Smolen*, 80 F.3d at 1288,
17 which may be discharged in several ways, including subpoenaing the claimant’s physicians,
18 submitting questions to the claimant’s physicians, continuing the hearing, or keeping the record
19 open after the hearing to allow supplementation of the record. *Tonapetyan*, 242 F.3d at 1150;
20 *Tidwell v. Apfel*, 161 F.3d 599, 602 (9th Cir. 1998); *Armstrong v. Comm’r of Soc. Sec. Admin.*,
21 160 F.3d 587, 590 (9th Cir.1998); *Smolen*, 80 F.3d at 1288.

22 Here, plaintiff argues that the ALJ’s discussion of Dr. Rose’s report indicates ambiguity in
23 the factual record that triggered the duty for additional fact-finding, insofar as the ALJ reported
24 that Dr. Rose’s report did not fully accord with reports of plaintiff’s functioning described in later
25 treatment records, nor with plaintiff’s own testimony. ECF No. 15 at 13. Plaintiff likens the
26 ALJ’s analysis to cases where the ALJ has erroneously endeavored to interpret raw clinical data
27 rather than develop the record with the opinion of a medical professional who has assessed the
28 raw data. ECF No. 15 at 10-11. For example, in *Rivera v. Berryhill*, No. ED CV 16-791-SP,

1 2017 WL 5054656, at *4 (C.D. Cal. Oct. 31, 2017), the court held the ALJ erred in his RFC
2 determination because it was based on no opinions from any medical professional who had
3 assessed the claimant or reviewed his records, but rather was based solely on the ALJ’s own
4 interpretation of the claimant’s MRIs and medical treatment notes. For this reason, the record
5 before the ALJ “did not provide sufficient indications of plaintiff’s functional limitations[,] [a]nd
6 the few findings that could translate to function limitations were ambiguous,” such that the RFC
7 was not based on substantial evidence. *Id.* at *5.

8 The record does not support plaintiff’s interpretation that the ALJ similarly supplanted
9 medical opinions with his own or that he failed to resolve an ambiguity that arose that was critical
10 to his RFC determination. The ALJ did not disregard Dr. Rose’s opinions and instead “acted as
11 his own medical expert,” *see Miller v. Astrue*, 695 F. Supp. 2d 1042, 1048 (C.D. Cal. 2010), by
12 considering the raw data and notes contained in Dr. Rose’s records. Rather, the ALJ
13 appropriately and properly considered and gave weight to Dr. Rose’s medical opinion, while also
14 considering the basis of his opinion when deciding how much weight to give it. *See* AR 29-30.
15 This not only was proper, but was required of the ALJ in synthesizing the medical evidence
16 before him. *See, e.g., Tommasetti*, 533 F.3d at 1041-42; *Lingenfelter*, 504 F.3d at 1042; *Benton*,
17 331 F.3d at 1040. The fact that the ALJ observed that more recent evidence indicated plaintiff
18 suffered from greater limitations than even Dr. Rose had found, *see* AR 29-30, did not alone
19 create an ambiguity requiring further factual development. There was no ambiguity intrinsic to
20 Dr. Rose’s opinion. *See, e.g., Padilla v. Astrue*, 541 F. Supp. 2d 1102, 1107 (C.D. Cal. 2008)
21 (finding ambiguity requiring further evidentiary development where one doctor’s clinical opinion
22 appeared to contradict his own clinical findings). That additional evidence was generated after
23 Dr. Rose’s report does not, in itself, create an ambiguity in the record triggering the ALJ’s duty to
24 develop the record further. *See Bayliss v. Barnhart*, 427 F.3d 1211, 1217 (9th Cir. 2005); *see,*
25 *e.g., Stivers v. Saul*, No. 1:19-CV-01110-BAM, 2021 WL 1193794, at *8 (E.D. Cal. Mar. 30,
26 2021); *Lamas v. Saul*, No. 1:19-CV-00852-BAM, 2020 WL 6561306, at *10 (E.D. Cal. Nov. 9,
27 2020); *Charney v. Colvin*, 2014 WL 1152961, at *7 (C.D. Cal. Mar. 21, 2014), *aff’d*, 647 F.
28 App’x 762 (9th Cir. 2016). Simply, the ALJ’s approach was entirely consistent with his

1 obligation to consider “all the relevant evidence” when determining plaintiff’s RFC. *See* 20
2 C.F.R. § 404.1545(a)(1).

3 Finally, plaintiff fails to show that any error by the ALJ harmed her. *See Shinseki*, 556
4 U.S. at 409; *Stout*, 454 F.3d at 1055-56. In the portion of the ALJ’s findings at issue, the ALJ
5 largely credited Dr. Rose’s clinical opinion, only deviating from Dr. Rose by finding plaintiff
6 “more limited” in her functioning than Dr. Rose had found. AR 30. As such, it is unclear how
7 the ALJ’s error, if any, was not harmless to the RFC determination nor, more generally, to the
8 ALJ’s decision that plaintiff was not disabled. *See Gonzalez v. Kijakazi*, No. 1:21-CV-01676-
9 SKO, 2023 WL 6164086, at *7 (E.D. Cal. Sept. 21, 2023) (“plaintiff can hardly fault the ALJ for
10 giving him the benefit of the doubt and assessing an RFC that is more favorable to plaintiff than
11 most of the medical opinions in the record”); *Mills v. Comm’r of Soc. Sec.*, No. 2:13-CV-0899-
12 KJN, 2014 WL 4195012, at *4 n.8 (E.D. Cal. Aug. 22, 2014) (same). Plaintiff makes no
13 argument to explain how, had the ALJ hewn strictly to only those limitations identified by Dr.
14 Rose or had he ordered further evidentiary development, the ALJ’s determinations would have
15 been different and more favorable to plaintiff. *See Shinseki*, 556 U.S. at 409; *Stout*, 454 F.3d at
16 1055-56; *see generally Valentine v. Comm’r Soc. Sec. Admin.*, 574 F.3d 685, 692 (9th Cir. 2009).
17 On this additional ground, therefore, plaintiff fails to show that the ALJ’s decision should be
18 vacated and the matter remanded.

19 In sum, the ALJ’s decision was supported by substantial evidence at each of the five steps
20 of its required analysis. *Stout*, 454 F.3d at 1052; 42 U.S.C. § 405(g). Plaintiff has not shown that
21 the ALJ erred in determining her RFC by failing to give due weight to the opinions of Dr. Rose or
22 failing to require further evidentiary development relative to his opinions. The totality of the
23 ALJ’s determination of plaintiff’s RFC indicates that he aptly considered all medical evidence
24 before him and properly relied on objective medical data, synthesizing and weighing it in light of
25 the entire record. *See* 20 C.F.R. § 404.1529(c); *Molina v. Astrue*, 674 F.3d 1104, 1113 (9th Cir.
26 2012). As such, plaintiff has provided no basis to reverse the agency’s decision. *See* 42 U.S.C. §
27 405(g).

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1 **V. Conclusion**

2 For the foregoing reasons, IT IS HEREBY ORDERED that:

- 3 1. Plaintiff's motion for summary judgment (ECF No. 15) is denied;
- 4 2. Defendant's cross-motion for summary judgment (ECF No. 19) is granted;
- 5 3. The Clerk of the Court shall enter judgment for defendant and close this case.

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7 Dated: June 13, 2025

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EDMUND F. BRENNAN
UNITED STATES MAGISTRATE JUDGE

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